

Application Guide for Conformity Assessment Based on Electrical Appliance and Material Safety Law



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Japan Quality Assurance Organization

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1. Introduction

About JQA

Japan Quality Assurance Organization (JQA) was established as an incorporated foundation in accordance with then Article 34 of the Civil Code in 1957, and it was then converted to a general incorporated foundation in accordance with the Three Laws Related to Public Corporation System Reform on April 1, 2011.

JQA is operated with operating revenues derived mainly from service charges, and it conducts business as a third-party body for testing, inspection and certification while ensuring fairness and neutrality.

For an overview of JQA and certification, registration and testing, etc. performed by JQA, go to the JQA website: https://www.jqa.jp/

Electrical Appliance and Material Safety Law

The Electrical Appliance and Material Control Law of 1961 was drastically amended in August 1999, resulting in enforcement of the Electrical Appliance and Material Safety Law (DENAN Law) in April 2001. The purpose of this law is to prevent hazards and failures from occurring in electrical appliances by regulating manufacture, import, and sale of them and by assuring the safety of them through promoting voluntary action by private operators (Electrical Appliance and Material Safety Law, Article 1).

Intended electrical appliances are defined in three categories: (i) to (iii) of Electrical Appliance and Material Safety Law Article 2. The Electrical Appliance and Material Safety Law does not apply to all electrical appliances. It applies to only the electrical appliances for which safety must be assured. The concrete specified range is stipulated by Cabinet order. The electrical appliances and materials consist of specified electrical appliances and materials and unspecified electrical appliances and materials. For specified electrical appliances and materials, the conformity assessment must be conducted by registered conformity assessment bodies.

Expiration date of the certificate of conformity is stipulated in Appendix Table 1 of the Enforcement Order of the Electrical Appliance and Material Safety Law. When continuously manufacturing or importing electrical appliances, care must be taken not to exceed the expiration date.

For further information, see the following website of the Ministry of Economy, Trade and Industry: https://www.meti.go.jp/policy/consumer/seian/denan/

Electrical Appliance and Material Safety Law is also detailed in the "Electrical Appliances and Materials Safety Act Statutory Operations Implementation Guide (Ver.4.0)" issued by the Product Safety Section of the Ministry of Economy, Trade and Industry.

https://www.meti.go.jp/policy/consumer/seian/denan/file/06_guide/denan_guide_ver40_en.pdf

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[Related laws]

Law	Electrical Appliance and Material Safety Law	
Cabinet order	Enforcement Order of the Electrical Appliance and Material Safety Law	
Ordinance	Enforcement Ordinance of the Electrical Appliance and Material Safety Law	
	Ministerial orders for stipuating technical standards for electrical appliances	

JQA's Conformity Assessment Based on Electrical Appliance and Material Safety Law

JQA has conducted the conformity assessment since April 2001, as a registered assessment body in accordance with Electrical Appliance and Material Safety Law.

2. JQA Services

JQA conducts evaluation in accordance with technical standards of Electrical Appliance and Material Safety Law for specified electrical appliances, and issues the certificate of conformity (or eauivalent of certificate of conformity) when the conformity is determined.

JQA accepts applications from notifying business operators who has filed a notification under Article 3 of the Electrical Appliance and Material Safety Act, etc. (hereinafter referred to as the "Act") (manufacturers or importers in Japan) or manufacturers outside Japan.

When importers makes an application for assessment of Article 9, paragraph 1, item (ii) of Act, it is required that the importer has capital ties with the manufacturer, dispatches staff to the manufacturer to conduct inspection directly or through the instruction under the supervision of the applicant by using the inspection facilities defined in Article 9 of Act, and imports the specified electrical appliances. It is required that the manufacuturer has the inspection facilities defined in Article 9 and the applicant's staff conduct manufacture and inspection of completed products directly or through the instruction by using facilities under the supervision of the applicant

If the applicant makes an application on behalf of the notifying business operator or manufacturer outside of Japan, please submit a power of attorney.

For information on how to give notification of business, refer to "Procedure form for Electrical Appliance and Material Safety Law" posted on the website of the Ministry of Economy, Trade and Industry.

An application for continuation of manufacture and import of electrical appliances can be received six months or later before the expiration date of the certificate of conformtiy.

JQA may reject the acceptance of the application or suspend the implementation of conformity assessment services in the following cases:

- ·When JQA cannot technically support the application,
- •When the application involves illegal acts, violates public order and morarity, is anti-social in nature or adversely affects JQA's business in some other way, or is submitted from a group or organization that is likely to perpeturate such acts,
- ·When the applicant sustains or is at risk of sustaining deteriorating assets or credibility,
- ·When the applicant has failed to submit testing samples deemed necessary by JQA, and
- ·When JQA deems an application to be inappropriate for another reason.

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JQA Assessment Items

Electrical appliances that undergo conformity assessment are the following specified electrical appliances and materials belonging to the classification defined by the Ministry of Economy, Trade and Industry, for which JQA obtained registration under Article 29 of Act.

(Classification of registration)

- ①Single-phase small power transformers and discharge lamp ballasts
- 2 Electrical heating appliances
- ③Electrical motor-operated appliances
- **4** Electronic appliances
- ⑤AC electric appliances (Enforcement Ordinance of the Electrical Appliance and Material Safety Law (Ministerial Ordinance 84 of MITI in 1962), hereinafter called "Ordinance".)

Data Utilization

In the conformity assessment services in accordance with the Electrical Appliance and Material Safety Law, JQA shall not utilize testing data from reports of testing conducted by other assessment bodies, except for testing reports based on the IECEE (CB Certification) scheme and testing reports on CMJ-registered components and materials by other assessment bodies.

Rights and Duties of Applicants

For rights and duties of applicants, refer to "Terms of Agreement for Application regarding Testing and Certification Services" in the application form.

Complaints and Claims Regarding JQA Conformity Assessment Service

JQA will in good faith and based on the rules, respond to complaints from applicants and others on the conformity assessment in general, objections against the judgment for certification, or complaints and so on from other interested parties.

If you have any objection, please lodge it with JQA no later than 45 days after the event occurs. JQA will respond no later than three months after the date when the allegation is received.

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3. Procedures

Figure-1 on page 10 describes the flow from applying to JQA for conformity assessment based on the Electrical Appliance and Material Law to obtain the conformity certificate (or equivalent of the certificate of conformity).

Step 1: Application

Fill in "JQA Application for Testing/Certification Services" and "Application for Assessment Services of Specified Electrical Appliance", and then send them to the following destinations by postal mail, e-mail, or Fax. Those application forms are available on the JQA website:

https://www.jqa.jp/english/safety/action/application/pse.html

Send the following documents:

	Application content		
Necessary document	Cetrificate of conformity	Equivalent of cetrificate of conformity	Counterpart
Appllication Form for JQA Testing/Certification Serivices	0	0	0
Application Form for Assessment of Specified Electrical Appliances	0	0	0
Type Classification Table	0	0	-
List of Assessment Facilities	0	0	-
Overview of Structure, Material and Performance	0	0	-
of Specified Electrical Appliances			
List of Manufacturing Factories	0	0	-
List of Important Components	0	0	-
Circuit Diagram	0	0	-
Display Items	0	0	-
Instruction Manual	0	0	-
Copy of cetrtificate of conformity or equivalent of cetrtificate of conformity	※ 1	※ 1	0
Copy of notification under Article 3 **2	0	※ 3	0
Power of Attorney	※ 4	※ 4	※ 4

- Note: **%1** Copy of certificate of conformity or equivalent of certificate of conformity can only be applied only if such item related the application exists.
 - **%2** Please submit one of the following:
 - If the notification has been submitted in writing: A copy of the notification form confirming that it has been accepted.
 - If the notification has been submitted online: A copy of the notification screen containing the received information
 - ****3** If you are an overseas manufacturer and wish to have a duplicate issued at the same time as the Equivalent of Certificate of Conformity, please submit a copy of the above notification to the Ministry of Economy, Trade and Industry (or the bureau) of the importer to whom the copy will be delivered.
 - **¾4** Power of attorney is required only if the application is made via an agent of the applicant.

In addition, if additional materials are required for the evaluation of the conformity test, we will contact you and please submit them.

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Sending destination:

Japan Quality Assurance Organization Safety & EMC Center, Sales Div.

E-mail : <u>jtp-safety-cstm@jqa.jp</u>
TEL : +81(0)42-679-0246
FAX : +81(0)42-679-0170

Address: 4-4-4, Minamiosawa, Hachioji-shi, Tokyo, 192-0364, Japan

Or

Japan Quality Assurance Organization KITA-KANSAI Testing Center, Sales Div.

E-mail : <u>kita-customers@jqa.jp</u> TEL : +81(0)72-729-2244 FAX : +81(0)72-728-6848

Address : 1-7-7, Ishimaru, Mino-shi, Osaka 562-0027, Japan

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Step 2: Implementation of Conformity Assessment

Therer are two methods for conducting the conformity assessment (Article 9, paragraph (1)) *If you want item (i) assessment (lot), cousult with JQA.

Assessment	Assessment item	Remarks
method		
Item (i)	The specified electrical appliances concerned	The actual electrical appliances that are
assessment		manufactured or imported are assessed.
Item (ii)	Specifed electrical appliances and inspection	Samples for electrical appliances manufactured
assessment	facilities in the factory or business place of the	or imported, and inspection facilities in the
	notifying business operator related to the specifed	factory manufacturing the electrical appliances
	electrical appliances.	are assessed.

Testing

When the above Item (ii) assessment is applied, the testing will be conducted in accordance with the ministerial ordinance of technical standards. JQA applies Appendix Tables 1 to 12, based on the description on the application form.

Excerpt from "Interpretation of the ministerial ordinance of technical standards for electrical appliances":

This interpretation concretely describes the technical contents that must meet the technical requirements defined in the ministerial ordinance of technical standards for electrical appliances (Item 34, ministerial ordinance of MITI in 2013, hereinafter called "Ministerial Ordinance").

If the electrial appliance has more than one function, the above interpretation must be applied to each function. Unless otherwise specified in this interpretation, Appendix Tables 1 to 11 are independent of Appendix Table 12, therefore, the former and latter must not be used at the same time.

The technical contents that must meet the technical requirements defined in the ministerial ordinance shall not be limited to this interpretation, and shall be determined to comply with the ministerial ordinance if there is scientific basis that sufficient safety standards are ensured.

Appendix Table 1 Cables and Floor Heating Cables

Appendix Table 2 Conduits, Floor Ducts, and Ther Fittings

Appendix Table 3 Fuses

Appendix Table 4 Wiring Devices

Appendix Table 5 Current Limitters

Appendix Table 6 Single-phase Small Power Transformers and Discharge Lamp Ballasts

Appendix Table 7 Small AC Motors described in Appendix Tables 2 and 6 of the Enforcement Ordinance of the Electrical Appliance and Material Safety Law (Item 324, Cabinet Order in 1962)

Appendix Table 8 AC Electrical Appliances and Portable Generators described in Items 6 to 9 of Appendix 1 and Items 7 to 11 of Appendix Table 2 of the Enforcement Ordinance of the Electrical Appliance and Material Safety Law (Item 324, Cabinet Order in 1962)

Appendix Table 9 Lithium-ion Secondary Batteries

Appendix Table 10 Intensity of Noise

Appendix Table 11 Upper Limit Value of Operating Temperature for Electrical Appliances

Appendix Table 12 Stndards Conforming to International Standards

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If non-conformites to applicable standards are found in the testing of samples, JQA will notify the applicant accordingly. If the applicant receives the report on non-conformities, the applicant must consider the contents and take actions such as corrective measures and cancellation of the application within a certain period of time.

If the applicant makes an improved application with respect to a notification of non-conformities, JQA will conduct re-inspection and prepare an inspection results report if conformity with the Ordinance of the Ministry of Economy, Trade and Industry is confirmed. If conformity with ministerial ordinance on technical standards is not confirmed in the second improved application, or if an improved application is not made owing to technical issues in 40 days after the notification of non-conformities, JQA will judge the conformity inspection to have failed.

Note that the conformity assessment will be terminated in the case of failure.

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Inspection

Factory inssections when the above Item (ii) assessment is applied will be conducted in accordance with Article 15 of the Ministerial Order of the Ministry of Economy, Trade and Industry (Electrical Appliance and Material Safety Law, Appendix Table 4 Inspection Facilities). In factory inspections, accuracy, calibration records, and management system, etc. will be inspected.

Enforcement Ordinance of the Electrical Appliance and Material Safety Law, Appendix Table 4 (Excerpt)

Classification	Facilities	Technical Standards		
Single-Phase	Dimension measuring	Must be equipped with micrometers, calipers, or other measuring instruments capable of measuring		
Small Power	equipment	diameter and thickness with equivalent or better accuracy.		
Transformer	Insulation resistance	Must be equipped with 500V insulation resistance meter or other equipment capable of measuring		
	testing facilities	insulation resistance with equivalent or better accuracy.		
	Dielectric strength testing	(1) Must be equipped with the transformer, voltage regulator and voltmeter (with accuracy of 1.5 class		
	facilities	or better), or dielectric strength tester with these devices built in.		
		(2) Ability to adjust the secondary voltage easily and smoothly to the dielectric strength test voltage of		
		the small power transformer.		
	Temperature testing facilities	Must be equipped with the voltage regulator, voltmeter (with accuracy of 0.5 class or better), ammeter (with accuracy of 0.5 class or better), and thermocouple thermometer.		
	No-load testing facilities	Must be equipped with the voltage regulator, voltmeter (with accuracy of 0.5 class or better),		
		ammeter (with accuracy of 0.5 class or better), and wattmeter (with accuracy of 0.5 class or better).		
Electrical	Dimension measuring	Must be equipped with micrometers, calipers, or other measuring instruments capable of measuring		
Heating	equipment	diameters and thickness with equivalent or better accuracy.		
Appliances	Insulation resistance	Must be equipped with 500V insulation resistance meter or other equipment capable of measuring		
	testing facilities	insulation resistance with equivalent or better accuracy.		
	Dielectric strength testing	(1) Must be equipped with transformer, voltage regulator and voltmeter (with accuracy of 1.5 class or		
	facilities	better), or dielectric strength tester with these devices built in.		
		(2) Ability to adjust the secondary voltage easily and smoothly to the dielectric strength test voltage of		
		electrical heating appliances.		
	Temperature testing	Must be equipped with the voltage regulator, voltmeter (with accuracy of 0.5 class or better),		
	facilities	ammeter (with accuracy of 0.5 class or better), and thermocouple thermometer.		
Electric	Dimension measuring	Must be equipped with micrometers, calipers, or other measuring instruments capable of measuring		
Motor-Operated	equipment	diameters and thickness with equivalent or better accuracy.		
Appliances	Insulation resistance	Must be equipped with 500V insulation resistance meter or other equipment capable of measuring		
	testing facilities	insulation resistance with equivalent or better accuracy.		
	Dielectric strength testing	(1) Must be equipped with the transformer, voltage regulator and voltmeter (with accuracy of 1.5 class		
	facilities	or better), or dielectric strength tester with these devices built in.		
		(2) Ability to adjust the secondary voltage easily and smoothly to the dielectric strength test voltage of		
		electric motor-operated appliances.		
	Temperature testing	Must be equipped with the voltage regulator, voltmeter (with accuracy of 0.5 class or better),		
	facilities	ammeter (with accuracy of 0.5 class or better), and thermocouple thermometer.		
	Characteristics testing facilities	Must be equipped with the voltage regulator, voltmeter (with accuracy of 0.5 class or better), ammeter (with accuracy of 0.5 class or better).		
Electronic	Dimension measuring	Must be equipped with micrometers, calipers, or other measuring instruments capable of measuring		
appliances	equipment	diameters and thickness with equivalent or better accuracy.		
	Insulation resistance	Must be equipped with 500V insulation resistance meter or other equipment capable of measuring		
	testing facilities	insulation resistance with equivalent or better accuracy.		
	Dielectric strength testing	(1) Must be equipped with the transformer, voltage regulator and voltmeter (with accuracy of 1.5 class		
	facilities	or better), or dielectric strength tester with these devices built in.		
		(2) Ability to adjust the secondary voltage easily and smoothly to the dielectric strength test voltage of		
		electric appliances.		
	Temperature testing facilities	Must be equipped with the voltage regulator, voltmeter (with accuracy of 0.5 class or better), ammeter (with accuracy of 0.5 class or better), and thermocouple thermometer.		
AC Electric	Dimension measuring	Must be equipped with micrometers, calipers, or other measuring instruments capable of measuring		
Appliances	equipment	diameters and thickness with equivalent or better accuracy.		
	Insulation resistance	Must be equipped with 500V insulation resistance meter or other equipment capable of measuring		
	testing facilities	insulation resistance with equivalent or better accuracy.		
	Dielectric strength testing	(1) Must be equipped with the transformer, voltage regulator and voltmeter (with accuracy of 1.5 class		
	facilities	or better), or dielectric strength tester with these devices built in.		
		(2) Ability to adjust the secondary voltage easily and smoothly to the dielectric strength test voltage of AC electric appliances.		
	Temperature testing	Must be equipped with the voltage regulator, voltmeter (with accuracy of 0.5 class or better),		
	facilities	ammeter (with accuracy of 0.5 class or better), and thermocouple thermometer.		
	Characteristics testing	Must be equipped with the voltage regulator, voltmeter (with accuracy of 0.5 class or better),		
	facilities	ammeter (with accuracy of 0.5 class or better), and wattmeter (with accuracy of 0.5 class or better).		

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If non-conformities to the inspection requiremens are found in factory inspections, JQA inspection implementation division will inform the applicant accordingly.

If the applicant makes an improved application, JQA will conduct the necessary inspections again. If the applicant makes an application for probability of non-conformities notification, JQA will conduct re-inspection and prepare an inspection results report if conformity with Article 15 of the Ordinance of the Ministry of Economy, Trade and Industry is confirmed. If conformity with ministerial ordinance on technical standards is not confirmed in the second improved application, or if an improved application is not made owing to technical issues in 40 days after the notification of non-conformities, JQA will judge the conformity inspection to have failed.

Step 3: Decision on Certification

After verification of testing results, the certification implementation division will decide on the certification.

Step 4: Issuance of Certificate of Conformity

When conformity is determined in decision on certification, JQA will issue the certificate of conformity (or equivalent of certificate of conformity). The certificate of conformity (or equivalent of certificate of conformity) will be sent together with an invoice for costs related to the application.

The test report (details) will be subject to a charge. If application is not made, JQA will not issue the test report (details).

*Modification or Withdrawal of Application

If the applicant wishes to modify the contents of or withdraw an application, please inform JQA accordingly.

4. Displaying Marks on Products

When reported business operators obtain the certificate of conformity (or equivalent of certificate of conformity) from JQA, they may manufacture or import products by fulfilling the obligation of storing the product testing records.

When showing products for sales or purpose of marketing, the PSE mark, etc. must be displayed on them.

The display must be applied in accordance with the Enforcement Rules of the Electrical Appliance and Material Safety Law, Article 17, and Appendix Tables 5, 6 and 7 of the Enforcement Rules of Electrical Appliance and Material Safety Law, Article 17.

Item	Display
Denotation displayed on electrical appliances (Specified electrical appliances)	PS
Name, abbreviated name, or trademark of the registered conformity assessment body that conducted the conformity assessment	(Example) JGA
Name of reported business operator	0
Items defined in technical standards	0

Furthermore, "Displays in accordance with the System for Safety Inspection and Labeling of Products for Long-Term Use" or displays based on the "Household Goods Quality Labeling Act (Electrical Appliances and Apparatus Quality Labeling Provisions)" may be applied.

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5. Costs

Costs consist of the following items:

(1) Product testing fee

This is the cost of the product testing. (The verification fee for using the testing data is included)

(2) Inspection facility checking fee

This is the cost of the checking of on-site inspection or paper inspection.

(3) Fee for business trip

This is the cost of business trip for the inspection facility check. The fee is calculated based on JQA rules.

(4) Issuance fee

This is the cost of the certificate of conformity (or equivalent of certificate of conformity) and, if requested, duplicate copy of the certificate.

Costs are calculated upon combining the above items, and depend on the product and whether an additional component is conducted. Therefore, JQA will make a quotation individually after an application for conformity assessment is accepted. If you need a quotation in advance, contact the following address.

If the applicant withdraws an application or JQA deems it inappropriate, actual costs incurred up to that time will be charged to the applicant.

Contact information:

Japan Quality Assurance Organization Safety & EMC Center Sales Div.

E-mail : <u>jtp-safety-cstm@jqa.jp</u> TEL : +81(0)42-679-0246 FAX : +81(0)42-679-0170

Address: 4-4-4, Minamiosawa, Hachioji-shi, Tokyo, 192-0364, Japan

Or

Japan Quality Assurance Organization KITA-KANSAI Testing Center Sales Div.

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Flow to Receipt of Certificate of Conformity (Item (ii) Assesment Method)

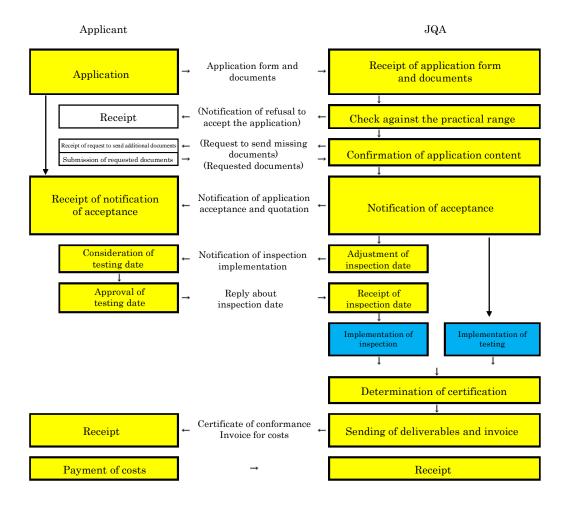


Figure-1

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